# Intelligent, Interoperable, Relevance and Value Enrichment in Universal, Ubiquitous Electronic Health Records (EHRs)

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#### **Abstract**

Electronic Health Records(EHR) are electronically maintained, linked, collections of allied, patient-related healthcare information collected during past encounters. They incorporate patient demographic information, encounter details, laboratory reports, prescription notes, past medical records, and other medical data. EHR creation is designed to support the future diagnosis, treatment, and decision making in patient care. However, since EHR technology is a burgeoning science, many facets lie under-used or under-utilized. Current implementations are confined to national boundaries managed by individual National Health Systems (NHS). Consolidated, universally interoperable EHR schemes are still a thing for the future; a migratory patient may not have his national EHR available in distant territories. Further, the examination of operational factors unearthed more inadequacies. Interoperability-related issues include the limiting network bandwidth causing inordinate delays, diverse local storage schemes at the various NHS clusters, the related requirement for synchronous vocabulary-related translation mechanisms at the various NHS-controlled boundaries causing inordinate delays, and the related security and access issues. These issues arise from the requirement for synchronous, query-messaging nature of information access and exchange. This paper articulates a novel, sound, and secure methodology for achieving true International Interoperability and uniform efficiency in ubiquitous Electronic Health Record systems. Utilizing intelligent machine learning processes, required query-messaging information is meaningfully aggregated enhancing the relevancy, access speed, and value-derivation from the given data. Asynchronous learning excludes the need for high available network bandwidth, upload and download delays associated with current synchronous database/cloud systems. Indeed, this overarching solution ensures seamless synchronous operation and high-end international interoperability, and would work in any ubiquitous EHR environment.

Keywords: Health Level 7, Interpolated, Consolidated, Electronic Health Records, International Interoperability, Ubiquitous, Macrocosm.

#### 1. Introduction

The Electronic Health Record (EHR) is a dynamic, longitudinal data structure of recorded healthcare information. Typically, patient encounters, patient, healthcare provider, and medication-related demographic data, treatments, laboratory reports, prescriptions, and medical history make good EHR material; infact efficient EHR implementations should circumscribe the gamut of pertinent, captured healthcare data enabling efficient, speedy future diagnosis and treatment of patients and diseases. The Health Information Management System Society (HIMSS) defines EHRs as follows [3]:

"The Electronic Health Record (EHR) is a longitudinal electronic record of patient health information generated by one or more encounters in any care delivery setting. Included in this information are patient demographics, progress notes, problems, medications. vital signs, past medical history, immunizations, laboratory data, and radiology reports. The EHR automates and streamlines the clinician's workflow".

EHR interpolation in the IT-driven healthcare sector resulted in many parallel healthcare standards being instituted. For instance, Health Level 7 (HL7) developed the Electronic Health Record System Functional Model (EHR-S FM) which "provides a reference list of functions that may be present in an Electronic Health Record System (EHR-S)



[4]. Functional profiles which are predetermined functional sets applicable to earmarked purposes, users, or environments, are created thereafter affording standardized descriptions of the specified scenarios. They are pertinent subsets of the complete function list in the *EHR-S FM*. The functional model therefore overarchingly refers to the allied *EHR* system, which in turn manifests in the form of one or more *scenario-related* functional profiles.

EHR systems in general are developed with the following merits in mind [3][5]:

- Improved Quality of Patient Care.
- Efficient Patients/Costs Monitoring.
- Filips to the Healthcare Industry.
- · Improved Documentation and System Audit Readiness.
- Interoperability.
- Safety/Security.
- Quality/Reliability.
- Efficiency/Effectiveness.
- Improved Communication.

According to [4], an EHR standard regulates the exchange of valued, pertinent healthcare information. It provides common language parameters for the design and development of EHR systems. Efficient, seamless International Interoperability, defined herein as the global exchange of valued healthcare information with homogenous understanding amongst participating healthcare service provider-oriented computer systems, should be the goal and expectation of every EHR implementation. Present day EHR systems however, are mainly institution-based and territorially-scoped by individual National Health Systems (NHS). Many facets and perspectives of the EHR science are still unexplored, the possibilities for enhancement and advancement almost unbounded. Interoperable EHR schemes with global consolidation are still distant dream. Further, current implementations are stricken with operational issues such as limited network bandwidth, the requirement for synchronous vocabulary-related translation mechanisms at the various NHS-controlled boundaries due to diverse local storage schemes, and related security and access concerns. These issues arise from the synchronous, query-messaging nature of information access and exchange; ironically they trigger inordinate communication delays and really subvert International Interoperability. This paper propounds a sound, secure methodology for achieving seamless International Interoperability and global efficiency in ubiquitous Electronic Health Record (EHR) systems. Using intelligent machine learning and EHR aggregation, required stakeholder information is meaningfully aggregated for easy access and enhanced value-derivation. The asynchronous learning process excludes the need for high availability of network bandwidth, and avoids the upload and download delays associated with current, synchronous, database/cloud-based EHR systems. Accordingly, this paper is organized as follows; Section 2 presents a conceptual EHR overview, Section 3 presents details of the proposed EHR learning model, Section 4 articulates the proposed intelligent learning methodology, Section 5 presents study results, and Section 6 sums up with the Conclusion of the overall research findings.

## 2. Electronic Health Record Macrocosm

Conventionally, *healthcare-related* information was recorded by *area* eg., emergency, laboratory, pharmacy, or emergency. These *unintegrated* subsystems had their own access procedures, patient identification schemes, and often diverse backend storage mechanisms (see Figure 1).

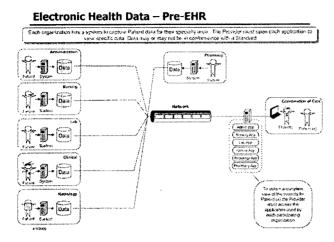


Figure 1. Electronic Health Data - Pre-EHR [3]

The mere diversity in subsystem development employing different vendors utilizing different languages and methods for user/patient identification and even access, subverted operational uniformity across all areas. Retrieval of an encompassing electronic record would thus require exhaustive login to all sub-applications and aggregating relevant patient record fragments. This unintegrated arrangement results in "inordinately lengthy access times, vocabulary variations across silos, and gross data duplication, incompatibility, and inconsistency" [1]. Further, the universal dispersion of allied electronic patient record fragments due to patient migration impedes efficient health record consolidation and access. Indeed the principal goal of this research was to devise an innovative, learning-based, asynchronous solution for efficient EHR-based system operation, mitigating if not totally eliminating the said inefficiencies due to poor, unintegrated organization, query-messaging type access and exchange, and associated delays, and line bandwidth concerns.

# 3. Features of the proposed Internationally Interoperable Intelligent EHR-based Learning Model

- i. Timely, up-to-date information provided at any node -
  - Patients
  - Diseases
  - Treatments
  - Treating Medical Practitioner
  - Service Provider
  - All-permutative relationships among above data entities.
- ii. All pertinent healthcare information stored in global *Electronic Health Record* (EHR) schema, in multiple, universally-accessible healthcare service provider databases spread globally.
- iii. Universal addressing of all healthcare artifacts using Object IDs (OIDs) or Universal User IDs (IDs).
- iv. Unsupervised Learning used during the "relevance and value enrichment" operation of the EHR-based information system. Popular techniques include Bayesian Learning, Self-Organizing Maps, Nearest-Neighbor Mapping, K-Means Clustering and Singular Value Decomposition".
- v. Electronic Health Record (EHR) information is consolidated and learned asynchronously for quick access eliminating common vocabulary translation issues, access and security-related delays, and communication line concerns inherent in conventional query-messaging type EHR schemes.
- vi. EHRs are stored non-uniformly in respective National Health Service (NHS) and Healthcare Service Provider (HSP) repositories spread globally, in national and intra-national (HSP) clusters.



- vii. Similar to system start-up in the mornings (or system shut-down the previous evening), list of required EHR-related search artifacts, eg., patient, disease, diagnosis, treatment, clinician, or HSP, is fed into system and learning initiated.
- viii. Absolutely NO synchronous *long-distance queries*, messaging, or rummaging in alien, distant databases necessary.
- ix. Learned (required) information cached locally for prompt, speedy retrieval. Time-consuming download, upload procedures prevalent in current cloud-based systems eliminated.
- x. "Asynchronous Learning for Efficient Synchronous Operation" the Essential Slogan.
- xi. System-related processes embedded in proposed EHR-based Learning Model
  - Human-related: learning Natural Language-hased Interaction vocabulary.
  - System-related :
    - a) Updation additions/insertions/deletions at nodes; affects global EHR schema (Blend-in).
    - b) Consolidation Any record-based target Resultset filtered from pertinent kindred EHR sets composed by on-the-fly global consolidation (Leaf Search Artifact-based Link-in). Decentralized learning at individual nodes.
    - c) Stratification Intelligent, dynamic, stratification of global network according to pre-defined guidelines- by global, continent, country, economy, paid service-fee slab etc. (ClusterKey-based Link-in). Centralized learning applicable to entire network.
    - d) Rejuvenation Intelligent, dynamic arrangement of global EHR fragments such that consolidation (Link-in) occurs according to frequency of information access. Facilitates access according to frequency of information use (Access Frequency-based Link-in). Decentralized learning at individual nodes.
    - e) Attenuation Intelligent, dynamic arrangement of global EHR fragments such that consolidation (Link-in) occurs according to age of information fragment. Facilitates access according to age (Aging-based Link-in). Decentralized learning at individual nodes.

Updation relates to automated (eg., using scripts) or manual-input based regular insert, delete, and update operations performed in the local database. Therefore, Consolidation, Stratification, Rejuvenation, and Attenuation constitute the actual intelligent, machine learning processes. Since Stratification, Rejuvenation, and Attenuation possess a similar operational nuance (all three entail hierarchical clustering with diverse cluster-keys, ie., Stratification with cluster-key = global, continent, country, economy, paid service-fee slab. Rejuvenation with cluster-key = frequency of access, and Attenuation with cluster-key = age of data, they are algorithmically similar. It suffices therefore to present learning algorithms for Consolidation and Stratification only.

### 4. Intelligent Learning Methodology

#### a) Learning Overview

International Interoperability necessitates the universal referencing of healthcare artifacts, eg., Universal User IDs (UUIDs) and Object IDs (OIDs) both facilitate universal reference [2], as indicated by the probe sequence shown in Fig.2.

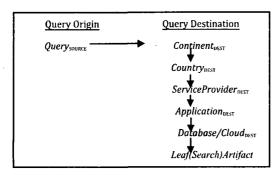


Figure 2. HL7 v3 Message - Query Probe Sequence [2]

Given this model, locally-maintained UUIDs for query-target reference are of the given form,

 $\{UUID \equiv [Continent_{DEST}, Country_{DEST}, SP_{DEST}, Application_{DEST}, DB-Cloud_{DEST}, LeafArtifact_{DEST}]\}$ 

OID construction is similar and as such we have empirically,

 $\{OID \equiv 1.999999_{C1}.9999999_{C2}.9999999_{SP}.9999999_{A}9999999_{DB-C}.9999999_{LP}\}$  where

C1-Continent, C2-Country, SP-Service Provider, A-Application, DB-C - Database-Cloud, and LP-Leaf (Search)Artifact.

As presented above, this paper assumes the query probe sequence of

Continent<sub>DEST</sub> Country<sub>DEST</sub> ServiceProvider<sub>DEST</sub> Application<sub>DEST</sub> Database/Cloud<sub>DEST</sub> Leaf(Search)Artifact

However, in essence, this would depend on the operational setup and configuration of the global healthcare network. The Leaf (Search) Artifact (L-SA) set as modelled herein is

 $L\text{-SA} \equiv \{\textit{Patient}, \textit{Disease}, \textit{Diagnosis}, \textit{Treatment}, \textit{MedicalPractitioner}, \textit{ServiceProvider}\}$ 

The delays associated with *querying* busy networks, synchronous data uploads, and downloads are eliminated; instead asynchronous intelligent learning, data enrichment, and relevance interpolation is proposed and applied. Archaic *Learning Algorithms* are utilized to *probe*, *filter*, and *locate*; focused, convergent learning techniques help retrieve and cache required *EHR* segments locally for prompt, speedy retrieval.

Learning requirements-related Predicates constitute system-related interactive input. Input predicates are designed in order to preserve brevity of communication, ease of operator-learning, facilitate system-parsing, and promote future multi-linguilism. Two modes of interactive operation, singular and batched mode (using batch file-based predicate collections) are recommended. Typically, similar to system start-up in the mornings (or system shut-down the previous day), the list of required EHR-related search artifacts, eg., patient, disease, diagnosis, treatment, medical practitioner, and healthcare service provider are fed into system and Learning initiated. Significantly, the asynchronous learning initiated is unaffected by system delays, network hogs, and line bandwidth unavailability associated with synchronous, query-based approaches prevalent in today's systems, "Asynchronous Learning for Efficient Synchronous Operation" being the motivation and goal of this solution. Prior requirements records of search artifacts are prepared and used for source predicate input, eg., daily patient appointments schedule for the current day's beginning-of-the-day system learning.

As mentioned in section 3., learning processes constitute both human and system-related learning; human learning related to system users and operators acquiring conversance of the predicate-based interactive vocabulary, and intelligent system learning aimed at network-wide healthcare data enrichment and relevance interpolation, ie., data updation, consolidation, stratification, rejuvenation, and attenuation. However, updations per se (data additions, insertions, deletions) are procedural, performed locally in the service provider/national database/cloud; these do not



entail a *learning* endeavour. *Learning* processes should ensure the incorporation of up-to-date system updates in the *globally consolidated and learned, kindred EHR* chunks. Therefore the pertinent, intelligent, system-related *learning* processes are *consolidation, stratification, rejuvenation,* and *attenuation* which are described later in this section.

In general, record-based storage schemes are utilized universally in *EHR* repositories, as shown in Figure 4. A veritable plethora of such schemes exist, from the inceptive *Hierarchical* and *Network* models to the present-day conventional *Relational*, *Object-Oriented*, *Object-Based*, and *Hybrid* models. All these record-based structures suffer from the *Data Sparseness* syndrome; first cuts with populated data may leave large-scale gaps in fields for non-existent or unavailable data. Conventional *relational* techniques overcome these storage deficiencies through multi-level *Normalization*. Other models may use similar techniques. However, this paper presumes a reasonably full complement of stored data in all repositories, to make the proposed learning processes efficient, fruitful, and worthwhile. Further, for convenience, the conventional *relational* model is presumed in all repositories; this can be seamlessly extrapolated to all record-based models as needed.

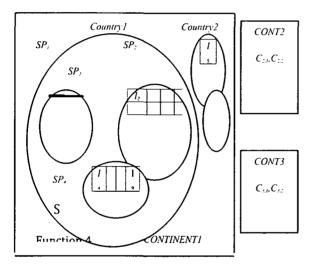


Figure 3. Universal Electronic Health Record Storage

SP<sub>i</sub>: Service Provider i

 $I_x$ : EHR Information Database, where x = Database Number

CONTi: Continent, where i = Continent Number

 $C_{p,q}$ : Country q in Continent p

#### b) Learning Predicates for Input

Learning Predicates were designed with brevity and universal uniformity in mind. Given the modelled query probe sequence, the maximal, semantically-meaningful, convergent learning predicate set  $\theta(LP)$  takes the Quintuple form

 $\theta(LP) = [Global, Continent, Country, ServiceProvider, Leaf(Search)Artifact]$ 

Any random learning predicate set  $\phi(LP)$  would contain five or lesser number of elements in each member, eg.,

 $\phi(LP) = \{[Continent, Country, ServiceProvider, Leaf(Search)Artifact], [Continent, Country], \}$ 

[Country, ServiceProvider, Leaf(Search)Artifact], [Continent, Leaf(Search)Artifact],

[Country, Leaf(Search)Artifact], ......

Learning can be initiated with any one as the Head Learning Artifact (HLA), ie., Global, Continent, Country, Health Service Provider (HSP) or Leaf Search Artifact (LSA); the learned EHR knowledge chunks would encompass the

allied remaining artifacts. Typically, the five HLAs would be defined as random access fields in the local storage repositories, eg., Primary, Alternate Keys in a Relational database arrangement. However, it is important to note that efficient, focused, and convergent learning necessitates the specification of at least one Sentinel Learning Artifact (SLA) in the input learning predicate. SLAs constitute relatively static datasets, eg., Global, Continent, Country, as opposed to the dynamically mutating non-SLA datasets HSP, and LSA. SLAs spearhead and searchingly drive forward the Learning process, efficiently consolidating related globally-spread EHR chunks on-the-fly, finally converging on the coherent, relevant EHR-Target-Cluster (ETC). The terminal ETC of any input learning predicate signals a completed learning thread; a completed batch of input learning predicate-related ETCs constitute the consummation of a Learning session.

#### c) Learning Algorithms

Two unsupervised learning algorithms are presented. For efficiency, convergence, and operational versatility, learning is multi-threaded but directed, starting at the highest specified sentinel artifact and flowing towards the required leaf search artifacts (LSA). Of paramount significance is that actual target LSAs become available a few clicks away together with their allied surround, improving the relevance, accuracy, and semantics of the required information. Clarifications, verifications and validations can be performed locally with enhanced efficacy and greater value extraction than conventional means.

i) Consolidation: Learning of pertinent, kindred, global EHR segments, readied for exhaustive and comprehensive knowledge provision during synchronous operation. Learning techniques used are trivial K-Nearest Neighbour for the fan-out phase, and Bayesian learning for related global EHR consolidation.

Procedure Consolidate (input: scope, required-lsa-value; output: consolidatedResultSet);

```
{ read scope; /* either Global, Continent or Country */
 If scope = countryValue goto Skip2;
 /* learning the scoped Continents using trivial K-Nearest Neighbour learning (single-step) */
  generate-learn memberlist m_i; i \in \mathbb{N}, 1 \le i \le k, k is number of Continents in list
  generate-learn memberlist m; associated probabilities;
  if m_i \Leftrightarrow continent Value then [n_i] = [m_i], goto Skip1;
          {store m_i; i \in \mathbb{N}, 1 \le i \le k, k is number of items in list
         for each m.
           /* learning the scoped Countries using K-Nearest Neighbour learning */
          {generate-learn memberlist n_i; i \in \mathbb{N}, 1 \le i \le l, l is number of countries in list
         generate-learn memberlist n, associated probabilities;
Skip1: if n_i \Leftrightarrow country Value goto Skip2;
          {store n_i; i \in \mathbb{N}, 1 \le i \le l, l is number of items in list
         for each n_i within each m_i
               /* generate and learn ServiceProviders who relate to each n_i */
Skip2:
                    {generate-learn memberlist o_i; i \in N, 1 \le i \le q, q is number of HSPs in list
                 generate-learn memberlist o, associated probabilities;
                    if o_i \Leftrightarrow lsa
                            {store o_i; i\in\mathbb{N}, 1\leq i\leq q, q is number of HSPs in list
                    for each o_i within each m_i within each m_i
                              /* using Bayesian learning and selection */
                              {generate-learn memberlist records p_i where key = required-lsa-value;
                                                   i \in \mathbb{N}, 1 \le i \le r, r is number of required-lsa records in list
                               generate-learn memberlist p<sub>i</sub> associated Bayesian branch probabilities
                                                                      for records where key = required-lsa-value};
                              exit();
                              }
```



```
ì
/* Completes generate-and-learn phase of LSA chunking and related Bavesian Network (BN) creation*/
/* Link-in Consolidation */
for each m;
  \{ \text{for each } n_i \}
    {for each o, (HSP) in created (learned) BN
          {consolidatedResultSet = append-cache(required-lsa-value records) r times;
        consolidatedResultSet = append-cache([m_i, n_i, o_i, p_i] paths) r times};
  };
return consolidatedResultSet;
On completion, the learning process (preprocessing) would have aggregated and cached the required and relevant
LSA-related EHR chunks for speedy inquiry.
ii) Stratification
Procedure Stratify (input: cluster-key; output: stratifiedResultSet []);
{ read cluster-key; /* either Continent, Country, Economy, Paid-Service-Fee-Slab */
  If cluster-key = country Value goto Skip2;
 /* learning the scoped Continents using trivial K-Nearest Neighbour learning (single-step) */
  generate-learn memberlist m_i; i \in \mathbb{N}, 1 \le i \le k, k is number of Continents
  generate-learn memberlist m; associated probabilities;
  if m_i \Leftrightarrow continent Value then [n_i] = [m_i], goto Skip1;
          {store m_i; i \in \mathbb{N}, 1 \le i \le k, k is number of Continents
        for each m,
           /* learning the scoped Countries using trivial K-Nearest Neighbour learning (single-step) */
          {generate-learn memberlist n_i; i \in \mathbb{N}, 1 \le i \le l, l is number of Countries per Continent
           generate-learn memberlist n, associated probabilities;
Skip1: if n_i \Leftrightarrow country Value goto Skip2;
          {store n_i; iCN, 1 \le i \le l, l is number of items in list
          for each n_i within each m_i
          /* generate and learn HSPs who relate to n_i within each m_i */
Skip2:
              {generate-learn memberlist o_i; i \in \mathbb{N}, 1 \le i \le q, q is number of HSPs in list
              generate-learn memberlist o; associated probabilities;
              if o_i \Leftrightarrow lsa
                           {store o_i; i \in \mathbb{N}, 1 \le i \le q, q is number of HSPs in list
                   for each o_i within each m_i within each m_i
                             /* using Bayesian learning and selection */
                              {generate-learn memberlist records p<sub>i</sub> and associated paid-service-fee-slabs;
                                                 i \in \mathbb{N}, 1 \le i \le r, r is number of lsa records in list
                              generate-learn memberlist records p,'s associated Bayesian branch probabilities
                                                                    for associated paid-service-fee-slabs};
                             exit();
                             }
               }
```

```
}
}
}

/* Completes generate-and-learn phase of LSA Hierarchical Structuring and related Bayesian Network

(BN) creation */

/* Link-in based on Hierarchical Structuring */

for each m_i

{for each n_i

{for each o_i (HSP) in created (learned) BN

{stratifiedResultSet [j] = append-cache(required-paid-service-fee-slab<sub>i</sub> records);

stratifiedResultSet [j] = append-cache([m_i, n_i, o_i, p_i] paths) } 1 \le j \le 3

};

return stratifiedResultSet [];
```

On completion, the *learning* process (*preprocessing*) would have *aggregated* and cached the required and relevant *cluster-key-related*, *hierarchically-structured*, *EHR chunks* for speedy inquiry. The system will operate and provide rights and accessibility to the global *HSP* membership based on *paid-service-fee* slabs. Note that the *learning* algorithms for *Rejuvenate* and *Attenuate* are similar; they just utilize different *cluster-keys*.

The asynchronous learning performed at every HSP node precedes the actual synchronous, global EHR-based healthcare system operation. During operation, all connectivity is deemed local but with a global feel; costly real-time long distance links and access delays completely eliminated. The system will operate as learnt with minimal or no intervention until a new learning paradigm is launched, causing re-learning and re-configuration of the local operational environment. The overlay of learning processes is also possible as required eg, Rejuvenation atop Attenuation. The Learning would so structure data according the clinician's requirement.

#### d) Implementation

System Implementation warrants a great deal of indepth thought; automating learning processes requires and is facilitated by overarching uniformity in data storage, access, manipulation, and archival processes. However, given the geographical spread of the envisaged, ideal, asynchronous-learning-driven, intelligent EHR healthcare solution, with interpolated stratifications by continent, country, economy, or even paid-service-provider-membership-fee, it is expected that diverse storage schemes, access and manipulation mechanisms exist, cordoned by stringent security. The successful operation of the proposed asynchronous-learning-driven EHR solution with International Interoperability requires the easy, unhindered access to globally-spread healthcare data repositories with the most current data, and appropriate translation mechanisms handling mixed language and vocabulary issues. The learning algorithms presented herein need to be embellished with proper scripting and coding in order to afford seamless access, parsing, and homogenous understanding through precision semantics, of the learned data. Convenient, easily accessible, available-data mirrors is a innovative yet super-efficient approach to hawk required LSA data amongst the participating membership. Distinct and separate from the main HSP repositories, these frequently-refreshed data mirrors can embed high-end technology for super-fast and effortless universal access and visibility. The main HSP data stores can be protected and securely-cordoned from any external interference or access.

#### 5. Results

The Machine Learning algorithms presented herein utilise Bayesian and trivial K-Nearest Neighbour learning techniques.



#### i. Bayesian Learning

The simplest form of the Bayes' Theorem as presented in [13] is

$$P(A|B) = \frac{P(A)P(B|A)}{P(B)}.$$

where A and B are events.

- P(A) and P(B) are the respective probabilities of A and B.
- $P(A \mid B)$ , is the conditional probability of A given that B is true.
- $P(B \mid A)$ , is the conditional probability of B given that A is true.

However, according to [12] when considering an entire sample space S of n events  $A_h$ ,  $A_s$ ,  $A_k$ , all mutually-exclusive, and if any random event B of S is such that P(B) > 0, then we have

$$P(A_{i} \cap B) = P(A_{i} \cap B) + P(A_{i} \cap B) + \dots + P(A_{n} \cap B)$$

Since  $P(A_k \cap B) = P(A_k)P(B \mid A_k)$ , Bayes' theorem can be rewritten as [12]

$$P(A_{k} | B) = P(A_{k}) P(B | A_{k})$$

$$P(A_{k} | B) = P(A_{k}) P(B | A_{k}) + P(A_{k}) P(B | A_{k}) + \dots + P(A_{k}) P(B | A_{k})$$

#### ii. K-Nearest Neighbour

K-Nearest Neighbour (KNN) algorithm essentially selects the k closest neighbours out of possible n options where k, n are parameters ( $k \le n$ ). The selection criterion used is allied to the problem space and solution envisaged; Euclidean and Least Mean Squares (LMS) distances are popular criteria. Hence the KNN set is these instances would be the k closest neighbours in terms of Euclidean or LMS distances.

1-Nearest Neighbour (INN) is a special case of the KNN, selecting the single closest neighbour.

In our study, we will be using a trivial form of the K-Nearest Neighbour technique, the selection criterion being the step-distance. A single-step fan-out distance will be used to learn the new membership at each new transition.

#### iii. Learning Probabilities

Both SLAs Continent and Country constitute relatively static datasets. We have,

[Continents] = 
$$[C_i]$$
,  $i \in \mathbb{N}$ ,  $1 \le i \le 5$ 

eg., [Continents] = [America, Africa, Europe, Asia, Australasia] = [Am, Af, Eu, As, Au]

$$P[C_i] = 1/5 = 0.2 \quad i \in \mathbb{N}, \ 1 \le i \le 5$$

Note: Presently some sources prescribe a *Hepta-Continent* model consisting of [North America, South America, Antartica, Africa, Europe, Asia, Australasia], but we will stick to the conventional and familiar Penta-Continent model.

[America] = [Antigua and Barbuda, Argentina, Brazil, Bahamas, Barbados, Canada, ... Cuba, .....USA]

Let num denote the number of countries in any continent. Thus [14],

$$num[C_1 = Am] = 38$$

$$num[C_2 = Af] = 57$$

$$num[C_3 = Eu] = 52$$

$$num[C_4 = As] = 50$$

$$num[C_5 = Au] = 14$$

$$P(C_{1,n}) = 1/38 = 0.0263, \quad p \in \mathbb{N}, \ 1 \le p \le 38$$

$$P(C_{2,n}) = 1/57 = 0.0175, \quad q \in \mathbb{N}, \ 1 \le q \le 57$$

$$P(C_{3,n}) = 1/52 = 0.0192, \quad r \in \mathbb{N}, \ 1 \le r \le 52$$

$$P(C_{4,s}) = 1/50 = 0.02, \quad s \in \mathbb{N}, \ 1 \le s \le 50$$

$$P(C_{5,n}) = 1/14 = 0.0714, \quad t \in \mathbb{N}, \quad 1 \le t \le 14$$

Total countries according to above source = 38+57+52+50+14 = 211

However, HSPs and LSAs represent dynamically mutating non-SLA datasets.

The HSP membership globally are dynamic and variable, denoted by

globalHSPs = 
$$[hsp_{pro}, hsp_{qrb}, hsp_{rrc}, hsp_{srd}, hsp_{tre}]$$
  
 $p, q, r, s, t \in \mathbb{N}, \ 1 \le p \le 38, \ 1 \le q \le 57, \ 1 \le r \le 52, \ 1 \le s \le 50, \ 1 \le t \le 14$   
 $a, b, c, d, e \in \mathbb{N}, \ 1 \le a, b, c, d, e \le n, n \text{ is the } upper-bound \text{ on } HSPs \text{ per country.}$ 

Let B = Getting a required-lsa-value EHR Applying Bayes' Learning

$$P(hsp-Am_{p,a}) P(B \mid hsp-Am_{p,a})$$

$$P(hsp-Am_{l,a}) P(B \mid hsp-Am_{l,a}) + P(hsp-Am_{2,a}) P(B \mid hsp-Am_{2,a}) + \dots + P(hsp-Am_{38,a}) P(B \mid hsp-Am_{38,a})$$

$$p \in \mathbb{N}, 1 \leq p \leq 38 \quad 1 \leq a \leq n, n \text{ is the } upper-bound \text{ on } HSPs \text{ per country}$$

$$P(hsp-Af_{ab} \mid B) = P(hsp-Af_{lb}) P(B \mid hsp-Af_{lb}) + P(hsp-Af_{lb}) P(B \mid hsp-Af_{lb}) + \dots + P(hsp-Af_{lb}) P(B \mid hsp-Af_{lb}) P(B \mid hsp-Af_$$

 $q \in \mathbb{N}$ ,  $1 \le q \le 57$   $1 \le b \le n$ , n is the upper-bound on HSPs per country

$$P(hsp-Eu_{r,c}) P(B \mid hsp-Eu_{r,c})$$

$$P(hsp-Eu_{1c} \mid B) = P(hsp-Eu_{1c}) P(B \mid hsp-Eu_{1c}) + P(hsp-Eu_{2c}) P(B \mid hsp-Eu_{2c}) + . + P(hsp-Eu_{52c}) P(B \mid hsp-Eu_{52c})$$

$$r \in \mathbb{N}, 1 \le r \le 52 1 \le c \le n, n \text{ is the } upper-bound \text{ on } HSPs \text{ per country}$$

10.00

$$P(hsp-As_{s,d}) P(B \mid hsp-As_{s,d})$$

$$P(hsp-As_{1,d} \mid B) = P(hsp-As_{1,d}) P(B \mid hsp-As_{1,d}) + P(hsp-As_{2,d}) P(B \mid hsp-As_{2,d}) + \dots + P(hsp-As_{50,d}) P(B \mid hsp-As_{50,d})$$

 $s \in \mathbb{N}$ ,  $1 \le s \le 50$   $1 \le d \le n$ , n is the upper-bound on HSPs per country

$$P(hsp-Au_{l,e} \mid B) = P(hsp-Au_{l,e}) P(B \mid hsp-Au_{l,e}) + P(hsp-Au_{l,e}) P(B \mid hsp-Au_{l,e}) + P(hsp-Au_{l,e}) P(B \mid hsp-Au_{l,e}) + P(hsp-Au_{l,e}) P(B \mid hsp-Au_{l,e})$$

 $t\in\mathbb{N},\ 1\leq t\leq 14$   $1\leq e\leq n,\ n$  is the *upper-bound* on *HSPs* per country



#### iv. Learning Transitions

Suppose the following results were returned by the *learning* run for input *learning predicates*:

- a) [Global, required-lsa = Tom]
- b) [Global, Cluster-key = service-fee-paid]

Table 1: Learning Run Results

	SLAs		Non-SLAs	
Consolidatio	Asia.	Sri	HSP = eclipse,	
n	Lanka		goodhealth,	
	_		required-lsa = Tom	
	Europe.		HSP = happyheart	
	Sweden			
			required-lsa = Tom	
	61.1.1			
Stratification	Global		Cluster-key=service-fee- paid; 3 slabs a,	
			b, c	

Using the *Bayesian* form given in [12] where S is a sample space of n events  $A_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ , all mutually-exclusive, and if any random event B of S is such that P(B) > 0, then we have

Considering the previous result,

globalHSPs = 
$$[hsp_{re}, hsp_{se}, hsp_{se}, hsp_{se}, hsp_{se}]$$
  
 $p, q, r, s, t \in \mathbb{N}, 1 \le p \le 38, 1 \le q \le 57, 1 \le r \le 52, 1 \le s \le 50, 1 \le t \le 14$   
 $a, b, c, d, e \in \mathbb{N}, 1 \le a, b, c, d, e \le n, n \text{ is the } upper-bound \text{ on } HSPs \text{ per country.}$ 

For this example, let n = 10, n is the *upper-bound* on *HSPs* per country. Using the following *Bayes'* form [12] where S is a sample space of *n* mutually-exclusive events  $A_1$ ,  $A_2$ ,  $A_3$ , and B is any random event of S is such that P(B) > 0, then we have

$$P(A_k \cap B) = P(A_k \cap B) + P(A_k \cap B) + \dots + P(A_k \cap B)$$

For required-lsa (B) = ``Tom''

$$P (hsp-happyheart \mid B="Tom") = \underbrace{P (hsp-happyheart \cap B="Tom")}_{\sum P (hsp_{SWedens} \cap B="Tom")} = 1 \qquad 1 \le c \le 10$$

$$P (hsp\text{-}eclipse | B\text{-"Tom"}) = \underline{P (hsp\text{-}eclipse \cap B\text{-"Tom"})} = 1/2 \qquad 1 \le d \le 10$$

$$\sum P (hsp\text{-}sell Link, d \cap B\text{-"Tom"})$$

$$P (hsp-goodhealth \mid B="Tom") = \underbrace{P (hsp-goodhealth \cap B="Tom")}_{\sum P (hsp_{SRUANKAd} \cap B="Tom")} = 1/2 \qquad 1 \le d \le 10$$

Table 2: Probabilities and Learned Results

Transit		Consolidation	Stratification
No.			
1	Probability	$P[C_i] = 0.2, i \in \mathbb{N},$ $1 \le i \le 5$	$P[C_i] = 0.2, i \in \mathbb{N},$ $1 \le i \le 5$
	Learned Result	America, Africa, Europe, Asia,	America, Africa, Europe, Asia,
	(trivial KNN)	Australasia	Australasia
2	Probability	[0.2x0.0263, 0.2x0.0175, 0.2x0.0192, 0.2x0.02, 0.2x0.0714] = [0.0053, 0.0035, 0.0038, 0.004, 0.0143]	[0.2x0.0263, 0.2x0.0175, 0.2x0.0192, 0.2x0.02, 0.2x0.0714] = [0.0053, 0.0035, 0.0038, 0.004, 0.0143]
	Learned Result (trivial KNN)	Antigua and Barbuda, Argentina, Brazil, Baharnas, Barbados211 countries.	Antigua and Barbuda, Argentina, Brazil, Bahamas, Barbados211 countries.
3	Probability (n=10)	[0.2x0.0263x0.1, 0.2x0.0175x0.1, 0.2x0.0192x0.1, 0.2x0.02x0.1, 0.2x0.0714x0.1] = [0.00053, 0.00035,	0.2x0.0263x0.1, 0.2x0.0175x0.1, 0.2x0.0192x0.1, 0.2x0.02x0.1, 0.2x0.0714x0.1] = [0.00053, 0.00035,
	Learned Result	0.00038, 0.0004, 0.00143]  {[America], [Africa], [Europe,Sweden, happyheart,], [Asia,Sri Lanka, eclipse, Sri Lanka ,goodhealth], [Australasia]}	0.00038, 0.0004, 0.00143]  {[America], [Africa], [Europe, Sweden, happyheart,a,], [Asia, Sri Lanka, eclipse, b, Sri Lanka ,goodhealth, b], [Australasia]}
4	Probability (After Bayes' Learning)	{0, 0, 0.0038, [0.004x0.5, 0.004x0.5] , 0} = {0, 0, 0.0038, [0.002, 0.002], 0}	{,, 0.0038, 0.004+0.004 ,} = {,, 0.0038, .008,}
	Learned Result (Bayes')	{[Europe, Sweden, happyheart, Tom], [Asia, Sri Lanka, eclipse, Tom], [Asia, Sri Lanka,	{[Europe, Sweden, happyheart, a], [Asia, Sri Lanka, eclipse, goodhealth, b]}



goodhealth. Tom];	

#### 6. Conclusion

This research studied indepth the use Machine Learning techniques for Electronic Health Records (EHR) system operation in the global healthcare industry. It was determined that present practice dictated the synchronous operation of EHR-based healthcare systems, giving rise to difficulties in access and data transmission. Further, since EHR creation, maintenance, and use is confined to convenient healthcare-provider and national boundaries, the underlying diversity in the data storage mechanisms and associated vocabularies require homogeneity in access, use, and understanding. Currently, no scalability potential exists nor modalities for synchronous global EHR consolidation, causing grave hindrance to our principle goal of true International Interoperability. Also, these unorganized, non-homogenous clusters of EHR implementations infuse and breed alarming inefficiencies into the total network; unintegrated organization engenders inordinately-lengthy access times, vocabulary variations, and gross data duplication, incompatibility, and inconsistency. These ricochet on other performance factors such as safety and security, quality and reliability, and efficiency and effectiveness. This paper articulated a sound and secure methodology to achieve efficient International Interoperability amongst all participating HSPs. Through asynchronous machine learning, earmarked EHR clusters are consolidated and learned, affording interoperable, current, relevance and value-enriched EHR data to all stakeholders. Utilizing two proven learning techniques, namely Bayesian and K-Nearest Neighbour, two learning algorithms were presented, namely, Consolidation and Stratification.

As previously mentioned, the successful operation of the proposed asynchronous-learning-driven EHR solution requires the easy, unhindered access to globally-spread healthcare data repositories. Convenient, easily accessible, available-data mirrors is a innovative yet super-efficient approach to hawk required LSA data amongst the participating membership. Distinct and separate from the main HSP repositories, they are really HSP showcases containing the available, most current data and may also incorporate common-vocabulary-related translation mechanisms enhancing the value of the hawked data. These frequently-refreshed data mirrors can embed high-end technology for super-fast and effortless universal access and visibility. The main HSP data stores can be protected and securely-cordoned from any external interference or access. Summing up, it is satiating that the new learning-based EHR system development solution is not only efficient and convergent towards our prime goal of International Interoperability; rather its capacity to circumvent or eliminate stereotypical line, access, and other real-time issues prevalent in present-day Distributed Systems makes it a practical and worthy interpolation to the development paradigm. Indeed it represents a true endorsement of the slogan "Asynchronous Learning for Efficient Synchronous Operation"; a global snapshot of pertinent consolidated healthcare information presented at your local computer.

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